

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Stephen William ROBERTS

CIP of Appln. No.: 09/708,452

Confirmation No.: 1594

Group Art Unit: 2874 (parent)

Filed: January 18, 2002

Examiner: UNKNOWN

For: CONTROLLING BIREFRINGENCE IN AN OPTICAL WAVEGUIDE
AND IN AN ARRAYED WAVEGUIDE GRATING

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Amend the specification by inserting before the first line the following sentence:

This is a Continuation-in-Part of Application No. 09/708,452 filed November 9, 2000;
the disclosure of which is incorporated herein by reference.